

Introduction to  
Depth Two and a  
Galois Theory for Bialgebroids

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Leeds, May 2006

Origins of depth two and finite depth in  $C^*$ - and von Neumann algebras

Bratteli diagram for inclusion of fin. dim.  $C^*$ -algebras: e.g.  $S_2 < S_3$  and their corresp. group  $\mathbb{C}$ -algebras is unitarily equiv. to inclusion mapping  $(\lambda, \mu) \mapsto (\lambda, \begin{pmatrix} \lambda & 0 \\ 0 & \mu \end{pmatrix}, \mu)$

Inclusion matrix is induction-restriction table for irreducible characters:

$S_2 \leq S_3$	$\chi_1$	$\chi_2$	$\chi_3$
$\psi_1$	1	1	0
$\psi_2$	0	1	1

Jones tower of von Neumann factors:

$$N \subset M \subset M_1 = \text{End } M_N \subset M_2 \subset \dots$$

(iteration by basic End construction). Basic construction of f.d.  $C^*$ -algebras has a mirror image Bratteli diagram.

Derived tower of centralizers or relative commutants:

$$C_N(N) \subset C_M(N) \subset C_{M_1}(N) \subset C_{M_2}(N) \subset \dots$$

Draw Bratteli diagrams of each inclusion :  $N \subset M$  is depth  $n$  if at  $n$ 'th level it begins to repeat itself via reflections.

The notion depth two (D2) extends to any ring extension (Nikshych-L.K., Szlachanyi-L.K.)

Let  $B \subseteq A$  be a subring with  $1_B = 1_A$  or  $B \rightarrow A$  a unital ring homomorphism.  $A|B$  is D2 if  $A \otimes_B A \in \text{Add}(A)$  as natural  $A$ - $B$ -bimodules (right D2) and  $B$ - $A$ -bimodules (left D2).

Dress equiv. of cat's:  $\text{Add}(M_C) \cong \text{FGP}_{\text{End } M_C}$  for any module  $M$  over ring  $C$ ,

$Add(A) \cong FGPR$  where  $R \cong \text{End } {}_B A_A$  is centralizer  $C_A(B)$ .

Since  $\text{Hom}(A, A \otimes_B A) \cong (A \otimes_B A)^B := T$   
and  $\text{Hom}(A \otimes_B A, A) \cong \text{End } {}_B A_B := S$   
we have equiv. def. of D2 extension:

(left D2 quasibase)  $\exists \beta_i \in S, t_i \in T$ :

$$a \otimes a' = \sum_{i=1}^n t_i \beta_i(a) a'$$

(right D2 quasibase)  $\exists \gamma_j \in S, u_j \in T$ :

$$a \otimes a' = \sum_{j=1}^m a \gamma_j(a') u_j$$

Note:  $T_R$  and  ${}_R S$  are f.g. projective by Dress equivalence or the left D2 eq. above. Also  $Add(A) = Add(A \otimes_B A)$  and indeed obtain via Hirata that  $R$  and  $(\text{End } A \otimes_B A_A)^B$  are Morita equivalent.

Example. A f.g. projective algebra  $A$ . If  $x_i \in A$  and  $p_i \in A^*$  satisfies  $\text{id}_A = \sum_i^n x_i p_i$ , then  $a \otimes a' = \sum_{i=1}^n a p_i(a') 1 \otimes x_i$ .

Example. A normal subgroup of a finite group:  $N \triangleleft G$ . If  $G = \coprod_{i=1}^n g_i N$ ,  $A = \mathbb{C} G$  and  $B = \mathbb{C} N$ , then  $t_i = g_i \otimes g_i^{-1}$  and  $\beta_i(\sum_{g \in G} a_g g) = \sum_{g \in g_i N} a_g g$  is a left D2 quasibase since for all  $g \in G$

$$g \otimes_N 1 = \sum_{i=1}^n g_i \otimes g_i^{-1} \beta_i(g)$$

Example. Hopf-Galois Ext's.

$H =$  bialgebra of  $\dim H = n$ ,  $A =$  an  $H$ -comodule algebra w/ coaction  $A \rightarrow A \otimes H$  s.t.  $B = A^{\text{co}H}$  and isomorphism

$$\beta : A \otimes_B A \rightarrow A \otimes H, \quad \beta(a \otimes a') = a a'_{(0)} \otimes a'_{(1)}$$

Then  ${}_A A \otimes_B A_B \cong \bigoplus^n {}_A A_B$ .

$H$  has an antipode [Sch], so

$\beta'(a \otimes a') = a_{(0)} a' \otimes a_{(1)}$  is isomorphism, and  $A|B$  is left D2 as well.

E.g. normal Hopf subalg's are D2.

$C_A(B)$  IS NORMAL SUBALG [R, LK5]

Given  $A|B$  D2,  $\forall$  ideal  $I \subset A$

$$(I \cap R)A = A(I \cap R) \quad (1)$$

Reason:  $\forall r \in R \cap I, \exists A \otimes_B A \rightarrow I, x \otimes_B y \mapsto xry$ ,  
 apply to  $1 \otimes_B a = \sum_j \gamma_j(a)u_j^1 \otimes_B u_j^2$  to get

$$ra = \sum_j \gamma_j(a)u_j^1 r u_j^2 \in A(I \cap R)$$

Similarly  $ar = \sum_i t_i^1 r t_i^2 \beta_i(a) \in (I \cap R)A$ , whence  
 eq. (1).

OBS. Using the similar equations

$$a_{(1)} \otimes \tau(a_{(2)})a_{(3)} = a \otimes 1$$

$$a_{(1)}\tau(a_{(2)}) \otimes a_{(3)} = 1 \otimes a$$

for  $a \in$  a Hopf algebra  $H$  w/ antipode  $\tau$ , a normal Hopf subalgebra  $K \subseteq H$  (i.e. every  $x \in H$  satisfying  $\tau(x_{(1)})Kx_{(2)} \subseteq K$  and  $x_{(1)}K\tau(x_{(2)}) \subseteq K$ ) is a normal subring. Converse follows from  $HK^+ = K^+H$  characterization (where  $K^+ = \ker \varepsilon \cap K$ ).

THEOREM (Sz.-L.K.) Given left or right D2 ext  $A|B$ , the ring  $S = \text{End}_B A_B$  is a (f.g. projective left) bialgebroid over  $R = C_A(B)$  and  $T = (A \otimes_B A)^B$  is its  $R$ -dual (f.g. proj. right) bialgebroid.

Right  $R$ -bialgebroid structure on  $T$ :

1. Note  $T := (A \otimes_B A)^B \xleftarrow{\cong} \text{End}({}_A A \otimes_B A_A)$  via  $F \mapsto F(1 \otimes 1)$ , which induces the multiplication on  $T$ :

$$tu = u^1 t^1 \otimes_B t^2 u^2, \quad 1_T = 1_A \otimes 1_A$$

2. dual groupoid set-up:  $R \xrightarrow{s_R} T \xleftarrow{t_R} R^{\text{op}}$  via  $s_R(r') = 1 \otimes_B r'$  and  $t_R(r) = r \otimes_B 1$  satisfying  $s_R(r')t_R(r) = t_R(r)s_R(r')$ .

3. bimodule  ${}_R T_R = T_{s_R, t_R}$ :  $r \cdot t \cdot r' = r t^1 \otimes t^2 r'$ .

4.  $(T, \Delta, \varepsilon)$  is  $R$ -coring where comultiplication  $\Delta_T : T \rightarrow T \otimes_R T \cong (A \otimes_B A \otimes_B A)^B$ ,  $\Delta(t) = t_{(1)} \otimes t_{(2)} = t^1 \otimes_B 1 \otimes_B t^2$  and counit  $\varepsilon_T(t) = t^1 t^2 \in R$ .
5. bialgebroid properties:  $\Delta(1_T) = 1_T \otimes_R 1_T$ ,  $\Delta(tu) = \Delta(t)\Delta(u)$ ,  $\varepsilon(1_T) = 1_R$ , and right properties  $s_R(r)u_{(1)} \otimes u_{(2)} = u_{(1)} \otimes t_R(r)u_{(2)}$ ,  $\varepsilon(tu) = \varepsilon(t_R(\varepsilon(t))u) = \varepsilon(s_R(\varepsilon(t))u)$ .

Left  $R$ -bialgebroid structure on  $S = \text{End}_B A_B$ : briefly, left and right mult.  $R \xrightarrow{\lambda} S \xleftarrow{\rho} R^{\text{op}}$ , coproduct is dual of mult.

$$\Delta_S(\alpha)(a \otimes_B a') = \alpha(aa')$$

Formula works because of cup product result for relative Hochschild cochains of D2 ext.,  $C^2(A, B; A) \cong C^1(A, B; A) \cup C^1(A, B; A)$ . [LK4]  
Counit  $\varepsilon_S(\alpha) = \alpha(1) \in R$ .

$S$  is  $R$ -dual to  $T$ : pairing

$\langle \alpha, t \rangle = \alpha(t^1)t^2 \in R$  nondegenerate if left D2.

Theorem [NK, SK, LK] An algebra extension  $A|B$  is a right  $T$ -Galois ext. for some left fin. projective right  $R$ -bialgebroid  $T \Leftrightarrow A|B$  is right D2 and balanced.

Explanation.  $\Rightarrow$  is rather like the example above. ( $\Leftarrow$ )  $A$  is a right  $T$ -comodule algebra: coaction  $\delta : A \rightarrow A \otimes_R T$ ,  $a_{(0)} \otimes_R a_{(1)} = \sum_j \gamma_j(a) \otimes_R u_j$  satisfies  $\delta(1_A) = 1_A \otimes_R 1_T$  and  $\delta(xy) = \delta(x)\delta(y)$ .

Coinvariants  $A^{\text{co}T} = \{x \in A \mid x_{(0)} \otimes_R x_{(1)} = x \otimes 1\} = B$  where  $\subseteq$  follows from  $A_B$  balanced.

Finally  $A \otimes_R T$  is Galois  $A$ -coring [BW] with bimodule  $a(a' \otimes t)a'' = aa'a''_{(0)} \otimes_R ta''_{(1)}$ , co-mult.  $A \otimes \Delta_T$  and counit  $A \otimes \varepsilon_T$ . Grouplike elt.  $1_A \otimes 1_T$  and isomorphism  $A \otimes_R T \cong A \otimes_B A$  via  $a \otimes_R t \mapsto at^1 \otimes_B t^2$  w/ inverse

$$a \otimes_B a' \longmapsto \sum_j a \gamma_j(a') \otimes_R u_j = aa'_{(0)} \otimes a'_{(1)}$$

the Galois isomorphism.  $\square$

Different Hopf algebroids that turn up via depth two theory:

<i>D2 Ext. <math>A B</math></i>	<i>Bialgebroid <math>\text{End}_B A_B</math> or Dual <math>(A \otimes_B A)^B</math></i>
Fin. proj. alg. $A$ [Lu] Irred. Frob. ext. [KN1] Frob. ext. w/ sep. cent. [KS] H-separable ext. [LK0] Hopf-Galois ext. [LK1] Pseudo-Galois ext. [LK2]	$\text{End } A$ and $A^e$ dual Hopf algs. dual weak Hopf algs. [KN2] Hopf algebroid $R^e$ Lu Geometric Hopf algebroid Connes-Mosc. Hopf algebroid

Example. An  $H$ -extension  $A|B$  w/ split injective Galois ( $A$ - $B$ -)mapping  $\beta : A \otimes_B A \hookrightarrow A \otimes H$  is D2, therefore  $T$ -Galois.

If  $\beta$  is  $\cong$ , then  $T^{\text{op}} \cong R \# H^{\text{op}}$  is a Lu geometric Hopf algebroid where  $H$  acts by  $r \triangleleft h = a^1 r a^2$  and  $1 \otimes h = \beta(a^1 \otimes_B a^2)$ .

Anchor maps

Ping Xu quantizes certain Lie algebroids like tangent bundle  $TX$  over Poisson manifold  $X$

to obtain noncocommutative Hopf algebroids such as twisted differential operators  $\mathcal{D}_q(X)$ . A Lie algebroid is a vector bundle over  $X$  equipped with a Lie bracket and a Lie homomorphism and bundle map into  $TX$  called anchor map.

For a Hopf algebroid  $H$  over  $R$ , the anchor map  $\mu$  is the unit representation  $H \rightarrow \text{End } R$  in the tensor category of  $H$ -modules.

Interesting anchor maps in depth two theory:

$\mu : S \rightarrow \text{End } R$  is evaluation of  $\text{End } {}_B A_B$  on the centralizer  $R$ .

$\mu : T \rightarrow \text{End } R$  given by  $r \triangleleft t = t^1 r t^2$ , a generalized Miyashta-Ulbrich action of Hopf algebra on centralizer of Hopf-Galois extension, or quotient group conjugation action on centralizer of normal subgroup [KK].

By comparing anchors of Hopf algebroid in [LK2] and in [CM] you may write down an isomorphism between these two objects.

Some questions and problems related to depth two:

1. Chirality Problem.

Is there a left D2 algebra extension that is not right D2?

2. Normality Problem.

Is a D2 Hopf subalgebra normal?

3. Galois Correspondence Problem.

Find new conditions under which D2 subextensions correspond to Hopf subalgebroids.

4. Inverse Galois Problem.

Given a f.g. projective left bialgebroid, is it the endomorphism ring of a D2 extension?

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